

EXHIBIT 3

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Details of Objections from Claimants Without An Account That Invested in Feeder Funds Identified in Exhibit 1

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	BAC Local 2 Annuity Fund	006062	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Andover Associates LP	1A0061	BAC Local 2 Health Benefit Fund	006003	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Andover Associates LP	1A0061	Barry Peters IRA	003376	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Barry Peters IRA	003376	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Barry Peters Roth IRA	003378	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Barry Peters Roth IRA	003378	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Brian Miller IRA Rollover	005929	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Brian Miller IRA Rollover	005929	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Central New York Laborers' Health & Welfare Fund	005163	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Andover Associates LP	1A0061	Central New York Laborers' Training Fund	005076	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Charles J. Hecht	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Charles J. Hecht	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Construction & General Laborers Union 633	003943	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Andover Associates LP	1A0061	David And Judy Schlazer	013737	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Andover Associates LP	1A0061	Edward Marshak IRA	002965	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Edward Marshak IRA	002965	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Eric Shakin	004818	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Eric Shakin	004818	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Estelle Rubenstein IRA	004026	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Estelle Rubenstein IRA	004026	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	FM Low Volatility Fund	003201	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	FM Low Volatility Fund	003201	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Howard Kaye IRA, UBS Financial Services #WE-31814	012035	Claim for securities and/or credit balance denied (12/8/2009).	2/16/2010
Andover Associates LP	1A0061	Iles Cooper, Invested Through Andover Associates (QP) LLC	005581	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Iles Cooper, Invested Through Andover Associates (QP) LLC	005581	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	IRA FBO Jerome Leslie (Deceased)	003941	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	IRA FBO Jerome Leslie (Deceased)	003941	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Ira M. Hariton IRA	010606	Claim for securities and/or credit balance denied (12/8/2009).	1/19/2010
Andover Associates LP	1A0061	Ira M. Hariton IRA	010606	Claim for securities and/or credit balance denied (12/8/2009).	4/5/2010
Andover Associates LP	1A0061	Jane Golub, Invested Through Andover Associates LLC	003982	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Jane Golub, Invested Through Andover Associates LLC	003982	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Jodi Fleisig, Invested Through Andover Associates LLC I	006337	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Jodi Fleisig, Invested Through Andover Associates LLC I	006337	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	John Feldman IRA Rollover	004826	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	John Feldman IRA Rollover	004826	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Joseph Nawy IRA	006654	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Joseph Nawy IRA	006654	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Lori Suser Beneficiary IRA Pershing LLC As Custodian Invested With FM Low Volatility Fund, LP, Inv..	015065	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Lori Suser Beneficiary IRA Pershing LLC As Custodian Invested With FM Low Volatility Fund, LP, Inv..	015065	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Louis Levin	003092	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Louis Levin	003092	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Maude Davis IRA, Invested Through FM Low Volatility Fund LP	005892	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Maude Davis IRA, Through Beacon Associates	015373	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Michael And Jennifer Ruff			1/7/2010
Andover Associates LP	1A0061	Michael Russell IRA Rollover, Invested Through Andover Associates LLC I	004195	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Michael Russell IRA Rollover, Invested Through Andover Associates LLC I	004195	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Nathaniel Fastenberg IRA Rollover	006573	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Nathaniel Fastenberg IRA Rollover	006573	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Norma Drew IRA	005527	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Norma Drew IRA	005527	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Patricia G. Hambrecht IRA Rollover	009593	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Patricia G. Hambrecht IRA Rollover	009593	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Paul K Rooney IRA Rollover	004675	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Paul K Rooney IRA Rollover	004675	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Peter & Jacqueline Levy JT	003381	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Peter & Jacqueline Levy JT	003381	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Phyllis Sherman SEP IRA, Invested Through Andover Associates LLC I	006997	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Phyllis Sherman SEP IRA, Invested Through Andover Associates LLC I	006997	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Renee Roseman	003221	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Renee Roseman	003221	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Ricelle Grossinger IRA, Invested Through Andover Associates (QP) LLC	005617	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Ricelle Grossinger IRA, Invested Through Andover Associates (QP) LLC	005617	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Robin Mathes Revocable Trust Robin Mathes, Trustee Invested Through Andover Associates LLC I	005595	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Robin Mathes Revocable Trust Robin Mathes, Trustee Invested Through Andover Associates LLC I	005595	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Roofers Local 195 Annuity Fund	004333	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Sherry Cohen IRA	009135	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Sherry Cohen IRA	009135	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Silver Vista LLC - Andrea Tessler	003212	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Silver Vista LLC - Andrea Tessler	003212	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Stephen Solomon IRA Rollover, Invested Through Andover Associates (QP) LLC	004126	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Stephen Solomon IRA Rollover, Invested Through Andover Associates (QP) LLC	004126	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Susan K Finesman	003304	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Susan K Finesman	003304	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Susan K. Finesman	007089	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Susan K. Finesman	007089	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Trust UWO Henry Bass - Lawrence Bass, Trustee, Invested Through Andover Associates LLC	003132	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Andover Associates LP	1A0061	Trust UWO Henry Bass - Lawrence Bass, Trustee, Invested Through Andover Associates LLC	003132	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	Valerie Salembier IRA Rollover, Invested Through Andover Associates LLC	070079	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	Valerie Salembier IRA Rollover, Invested Through Andover Associates LLC	070079	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Andover Associates LP	1A0061	William Shakin Trust	004834	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Andover Associates LP	1A0061	William Shakin Trust	004834	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Ariel Fund Limited	1FR070	Aozora Bank Ltd., Attn: Jonathan Fiorello	012847	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Ariel Fund Limited	1FR070	Aozora Bank Ltd., Attn: Jonathan Fiorello	012851	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Ariel Fund Limited	1FR070	Braymar Holdings Limited (Beneficial Owner) Through Its Custodial Agen, Citco Global Holdings NV	011629	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ariel Fund Limited	1FR070	Felkirk Limited	015636	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Ariel Fund Limited	1FR070	Felkirk Ltd.	013455	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Ariel Fund Limited	1FR070	Felkirk Ltd.	013455	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ariel Fund Limited	1FR070	John L. Steffens	012111	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	John L. Steffens	014807	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	John L. Steffens	014810	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	Nephrology Associates PC Pension Plan	005987	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	SMC Alternative Strategies Fund, LLC	011533	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	SMC Alternative Strategies Fund, LLC	014805	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	SMC Leveraged Fund, LLC	011543	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ariel Fund Limited	1FR070	SMC Leveraged Fund, LLC	014803	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Alice V. Smokler	005735	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Aliza Liechtung	009117	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Aozora Bank Ltd., Attn: Jonathan Fiorello	012849	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	Aozora Bank Ltd., Attn: Jonathan Fiorello	012850	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Ascot Partners, LP	1A0058	Barry D And Sherry Leiwant	014082	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Ascot Partners, LP	1A0058	Braymar Holdings Limited (Beneficial Owner) Through Its Custodial Agen, Citco Global Holdings NV	011628	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Burt Ross And Joan Gavin Ross	013397	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Ascot Partners, LP	1A0058	Burt Ross RO IRA	013316	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Ascot Partners, LP	1A0058	Centigrade Fund Limited	014806	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Centigrade Fund Unit Trust	014030	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Charles M Haar C/O Timothy W Mungovan, Nixon Peabody LLP	013000	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Charles M. Haar	014596	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Collace Services Limited	013829	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Ascot Partners, LP	1A0058	Collace Services Ltd.	013456	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	Daniel & Ruth Krasner	000863	Claim for securities and/or credit balance denied (12/8/2009).	12/18/2009
Ascot Partners, LP	1A0058	David N. Kahn	009368	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Elisabeth Familian, TTE	005928	Claim for securities and/or credit balance denied (12/8/2009).	1/27/2010
Ascot Partners, LP	1A0058	Felkirk Limited	013830	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Ascot Partners, LP	1A0058	Felkirk Limited	013830	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Ascot Partners, LP	1A0058	George Rubin	001128	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Ascot Partners, LP	1A0058	Gregory P. Ho	012098	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Gregory P. Ho	012142	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Gregory P. Ho	014818	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Gregory P. Ho	015601	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Herbert Kasper	014621	Claim for securities and/or credit balance denied (12/8/2009).	2/1/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	Joan G. Ross RO IRA	013396	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Ascot Partners, LP	1A0058	Joan Gavin Ross 1999 Isaac GRAT	013395	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Ascot Partners, LP	1A0058	Joan Gavin Ross 1999 Kathryn GRAT	013394	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Ascot Partners, LP	1A0058	John L. Steffens	012097	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	John L. Steffens	012109	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	John L. Steffens	014808	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	John L. Steffens	014812	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	JSBR Associates LP	004729	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Ascot Partners, LP	1A0058	Nicholas Palevsky	012125	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Philip Ross (Acct Held In Gabriel Capital LLP)	012764	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Ramaz School Endowment Fund C/O Kenneth Rochlin	005865	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	SMC Alternative Strategies Fund, Ltd	014804	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Alternative Strategies Fund, Ltd.	011559	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Leveraged Fund, Ltd	011531	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Leveraged Fund, Ltd	014802	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Reserve Fund II Offshore, LP	011548	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Reserve Fund II Offshore, LP	014798	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Reserve Fund II, LP	011539	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Reserve Fund II, LP	014799	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Select Co-Investment Feeder Fund I, LLC	011545	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	SMC Select Co-Investment Feeder Fund I, LLC	014797	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Spring Mountain Partners Overseas I, LP	011541	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	Spring Mountain Partners Overseas I, Ltd.	014800	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Spring Mountain Partners QP I, LP	011540	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Spring Mountain Partners QP I, LP	014801	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Steffens 21st Century Foundation II	012141	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Steffens 21st Century Foundation II	012185	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Steffens 21st Century Foundation II	014809	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Steffens 21st Century Foundation II	014811	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	Steven Liechtung	009115	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Steven Liechtung IRA Rollover	009116	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	The Apmont Group Inc. Pension Plan	004728	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Ascot Partners, LP	1A0058	The Burt Lee Ross Trust	013960	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Ascot Partners, LP	1A0058	The Suzanne & Charles Haar Family Foundation, Inc. C/O Timothy W Mungovan, Nikon Peabody LLP	012998	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	The Suzanne And Charles Haar Family Foundation, Inc.	014594	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Ascot Partners, LP	1A0058	Trustees Of Tufts College	005674	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Ascot Partners, LP	1A0058	US Trust Co UD Peter M. Lehrer	004731	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	6 In 6 Investments LLC	003955	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	6 In 6 Investments LLC	003955	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Aaron Ziegelman	003286	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Aaron Ziegelman	003286	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Abraham Kupersmith	003314	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Abraham Kupersmith	003314	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Abraham Kupersmith IRA	003315	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Abraham Kupersmith IRA	003315	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Adler Family Partnership- Nancy Market, Managing Director	004833	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Adler Family Partnership- Nancy Manket, Managing Director	004833	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	AGV Partners - Gianluigi Vittadini, Adrienne Vittadini, Seymour Zises, Trustees, Invested Beacon	006083	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	AGV Partners - Gianluigi Vittadini, Adrienne Vittadini, Seymour Zises, Trustees, Invested Beacon	006083	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	AIJED International Ltd.	010718	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	AIJED International Ltd.	010718	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Al Rothstein, Invested Through Beacon Associates LLC II	006348	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Al Rothstein, Invested Through Beacon Associates LLC II	006348	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Albert Ouaknine IRA Rollover	003259	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Albert Ouaknine IRA Rollover	003259	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Alex Kwader IRA Rollover, ;Invested Through Beacon Associates LLC I	005553	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Alex Kwader IRA Rollover, ;Invested Through Beacon Associates LLC I	005553	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Alexander E. Fisher, Invested Through Beacon Associates LLC I	004879	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Alexander E. Fisher, Invested Through Beacon Associates LLC I	004879	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Alfred Kaltman IRA Rollover	005951	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Alfred Kaltman IRA Rollover	005951	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Alisa Fastenberg	003361	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Alisa Fastenberg	003361	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Alison Altman IRA	002957	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Allan Arker	003872	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Allan Arker	003872	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Allan Starr	001695	Claim for securities and/or credit balance denied (12/8/2009).	12/29/2009
Beacon Associates LLC	1B0118	Allan Starr	009620	Claim for securities and/or credit balance denied (12/8/2009).	12/29/2009
Beacon Associates LLC	1B0118	Amanda Atlas	011546	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Andree Horn IRA, Invested Through Beacon Associates LLC II	004191	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Andree Horn IRA, Invested Through Beacon Associates LLC II	004191	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Andrew Kaufman As Investor In Beacon Assoc. LLC	012016	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ann Langley	003251	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ann Langley	003251	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Anne Rumore	003224	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Anne Rumore	003224	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	APJM Partners, LLC	003867	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	APJM Partners, LLC	003867	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Arthur & Barbara Harris JT	003214	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Arthur & Barbara Harris JT	003214	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Arthur Beecher IRA Rollover, Invested Through Beacon Associates LLC II	006294	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Arthur Beecher IRA Rollover, Invested Through Beacon Associates LLC II	006294	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Arthur Cyril Sosis	009732	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Beacon Associates LLC	1B0118	Arthur S Gordon	009666	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	BAC Local 2 Health Benefit Fund	006061	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	BAC Local 2 Union	003822	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	BAC Local 3 NY Union	003821	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Barbara Grill	004985	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Barbara Grill	004985	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Benjamin Jagendorf IRA Rollover	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Benjamin Jagendorf IRA Rollover	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Bert Moreida & Ann Moreida JT WROS, Invested Through Beacon Associates LLC I	004886	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Bert Moreida & Ann Moreida JT WROS, Invested Through Beacon Associates LLC I	004886	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Beverly Feinberg - Moss IRA Rollover	003143	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Beverly Feinberg - Moss IRA Rollover	003143	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Bonni Benrubi And Dennis Powers	007077	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Bonni Benrubi And Dennis Powers	007077	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Bradley Tolkin IRA Rollover	003876	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Bradley Tolkin IRA Rollover	003876	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Brian & Cathy Miller	005930	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Brian & Cathy Miller	005930	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Bruce & Tamara Watkins As Investor In Beacon Assoc. LLC	011991	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Bruce Slovin, Invested Through Beacon Associates LLC I	003464	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Bruce Slovin, Invested Through Beacon Associates LLC I	003464	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Building Trades Employers Insurance Fund	005165	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Carol & George Iocca As Investor In Beacon Assoc. LLC	012002	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Carol Levitt	005974	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Carol Levitt	005974	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Cathy Feldman	004827	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Cathy Feldman	004827	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Cathy Newman IRA Rollover, Invested Through Beacon Associates LLC II	004880	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Cathy Newman IRA Rollover, Invested Through Beacon Associates LLC II	004880	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Central New York Laborers' Annuity Fund	005075	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Central New York Laborers' Health And Welfare Fund	005160	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Central New York Laborers' Pension Fund	005070	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Charles King Porter Trust - Charles King Porter, Trustee	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Charles King Porter Trust - Charles King Porter, Trustee	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Charles Weiss Revocable Trust	003261	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Charles Weiss Revocable Trust	003261	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Checker Acquisition Corporation Attn: Mark Walburn, Invested Through Beacon Associates LLC I	004216	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Checker Acquisition Corporation Attn: Mark Walburn, Invested Through Beacon Associates LLC I	004216	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Cherrywood Cove LLC - Attn: Steve Stoller, Invested Through Beacon Associates LLC II	004881	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Cherrywood Cove LLC - Attn: Steve Stoller, Invested Through Beacon Associates LLC II	004881	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Christopher Lee Tessler & Patricia Kelly Tessler JT WROS, Invested Through Beacon Associates LLC I	006957	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Christopher Lee Tessler & Patricia Kelly Tessler JT WROS, Invested Through Beacon Associates LLC I	006957	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	CISAM LLC - Attn: Cindy & Sarah Sternklar, Invested Through Beacon Associates LLC I	004121	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	CISAM LLC - Attn: Cindy & Sarah Sternklar, Invested Through Beacon Associates LLC I	004121	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Claude E Erbsen IRA Rollover	004325	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Claude E Erbsen IRA Rollover	004325	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Construction Employers Association Of CNY	003824	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Craig Harwood	006848	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Craig Harwood	006848	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Cumberland Orthodedic Prof Assoc Retirement Plan Trust	009672	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Beacon Associates LLC	1B0118	Daniel Cohen As Investor In Beacon Assoc. LLC	012010	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	David & Linda Walke JT	006667	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	David & Linda Walke JT	006667	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	David & Mindy Falk As Investor In Beacon Assoc. LLC	012014	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	David Abramson IRA Rollover	003241	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	David Abramson IRA Rollover	003241	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	David Fastenberg	015455	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	David Fastenberg	015455	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	David L .Buchholz	011069	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	David Robert	003200	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	David Robert	003200	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Demetre Bove	010712	Claim for securities and/or credit balance denied (12/8/2009).	1/12/2010
Beacon Associates LLC	1B0118	Donald & Cathy Allman	005524	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Donald & Cathy Allman	005524	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Donald Kabat	009576	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	Donald Kreindler	006860	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Donald Kreindler	006860	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Donald Kreindler IRA Rollover	008624	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Donald Kreindler IRA Rollover	008624	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Donald Zief As Investor In Beacon Assoc. LLC	012018	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Dorothy Shakin Trust	004835	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Dorothy Shakin Trust	004835	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Douglas G. Albert	003230	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Douglas G. Albert	003230	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Dr. Norman Feder	002034	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	Dr. Norman Feder	009621	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	Eduardo Abush, Invested Through Beacon Associates LLC I	004869	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Eduardo Abush, Invested Through Beacon Associates LLC I	004869	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Eileen Shepard IRA	005954	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Eileen Shepard IRA	005954	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Elayne Siegman, Invested Through Beacon Associates LLC II	004241	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Elayne Siegman, Invested Through Beacon Associates LLC II	004241	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Elizabeth Reighly Trust - William Sullivan & John Burk, Trustees, Invested Through Beacon Associate	007005	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Elizabeth Reighly Trust - William Sullivan & John Burk, Trustees, Invested Through Beacon Associate	007005	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ellen L. Flynn IRA Rollover	005970	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ellen L. Flynn IRA Rollover	005970	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ellen Merlo	004652	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ellen Merlo	004652	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Engineers Joint Training Fund	005573 ⁷	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Engineers Joint Welfare Fund	005572	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Erv Magram	002968	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Erv Magram	002968	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Erv Magram	002969	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

⁷ Claimant Without An Account invested in Beacon Associates LLC (Account 1B0118, Claim 005573), but also may have had direct accounts 1E0112, 1E0113 and 1E0114 at BLMIS.

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Erv Magram	002969	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Evelyn E Newman Trust	005615	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	FM Low Volatility Fund	003202	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	FM Low Volatility Fund	003202	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	FM Multi Strategy Investment Fund, LP	003203	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	FM Multi Strategy Investment Fund, LP	003203	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Francine Levine	002981	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Francine Levine	002981	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Frank L. Crimmins And Candace Cox	010614	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Beacon Associates LLC	1B0118	G Rendelok LLC	011938	Claim for securities and/or credit balance denied (12/8/2009).	2/16/2010
Beacon Associates LLC	1B0118	Gary And Suzanne Zenkel	007101	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Gary And Suzanne Zenkel	007101	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Gavin Cutler	003146	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Gavin Cutler	003146	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	George Hoenig	003888	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	George Hoenig	003888	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	George Hoenig SEP IRA	003889	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	George Hoenig SEP IRA	003889	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Gertrude Fischer	015412	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Golub Family Limited Partnership	009670	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	H Richard Isaacson IRA Rollover	005971	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	H Richard Isaacson IRA Rollover	005971	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	H. Richard & Gloria G. Gaffer	009729	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Beacon Associates LLC	1B0118	Harbor Acquisition II Corporation - David Fromer	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Harbor Acquisition II Corporation - David Fromer	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Harvey A. Heffner IRA Rollover	003239	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Harvey A. Heffner IRA Rollover	003239	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Herb & Vicki London	003363	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Herb & Vicki London	003363	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Herman Wachlenheim	009818	Claim for securities and/or credit balance denied (12/8/2009).	12/23/2009
Beacon Associates LLC	1B0118	Hirsch Investment Co., LLC	009773	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Beacon Associates LLC	1B0118	Holly Weisman	005953	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Holly Weisman	005953	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Howard Charles Karawan Revocable Trust - Howard Charles Karawan, Trustee	004977	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Howard Charles Karawan Revocable Trust - Howard Charles Karawan, Trustee	004977	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Howard Liebman IRA Rollover	003857	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Howard Liebman IRA Rollover	003857	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Howard Sheldon Grotsky	014729	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Beacon Associates LLC	1B0118	Howard Siegel IRA	006180	Claim for securities and/or credit balance denied (12/8/2009).	2/2/2010
Beacon Associates LLC	1B0118	I.B.E.W Local 910 Welfare Fund	005415	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	I.B.E.W. Local 241 Welfare Benefits Fund	005468	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	I.B.E.W. Local 43 & Electrical Contractors Welfare Fund	005836	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	I.B.E.W. Local 43 & Electrical Contractors Pension Fund	005837	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Ian Moss & Beverly Feinberg Moss, Invested Through Beacon Associates LLC I	015452	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Ian Moss & Beverly Feinberg Moss, Invested Through Beacon Associates LLC I	015452	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ian Moss SEP IRA, Invested Through Beacon Associates LLC I	015451	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ian Moss SEP IRA, Invested Through Beacon Associates LLC I	015451	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Iles Cooper & Beverly Ginsburg Cooper, Invested Through Beacon Associates LLC I	005580	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Iles Cooper & Beverly Ginsburg Cooper, Invested Through Beacon Associates LLC I	005580	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ina M. Gordon	009668	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Ingrid Noone As Investor In Beacon Assoc. LLC	012013	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Irwin Levine	002980	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Irwin Levine	002980	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Isidore Mayrock IRA Rollover	005841	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Isidore Mayrock IRA Rollover	005841	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Jack Z. Brown Family Trust- Jack & Muriel Brown Trustees	003685	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jack Z. Brown Family Trust- Jack & Muriel Brown Trustees	003685	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jacqueline Adler Walker	003903	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jacqueline Adler Walker	003903	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jahn S Brodwin As Investor In Beacon Assoc. LLC	012019	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	James & Shirley Feigenbaum	003199	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	James & Shirley Feigenbaum	003199	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	James R Golub	009671	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	Jason Rabin	003210	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jason Rabin	003210	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jeffrey S. Hurwitz As Investor In Beacon Associates	014889	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Jeffrey Shakin	004836	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jeffrey Shakin	004836	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jeffrey Tolkin IRA Rollover	015458	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jeffrey Tolkin IRA Rollover	015458	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jessica Linkewer As An Investor In Beacon Assoc	011993	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Joan Ratner	004655	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Joan Ratner	004655	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Joel Kalish IRA	006580	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Joel Kalish IRA	006580	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Joel Klausner As Investor In Beacon Assoc. LLC	012003	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Joel Sacher IRA	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Joel Sacher IRA	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	John A Savino, Invested Through Beacon Associates LLC I	006326	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John A Savino, Invested Through Beacon Associates LLC I	006326	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	John Beckelman As Investor In Beacon Associates LLC	014890	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John Dourney As Investor In Beacon Assoc. LLC	012001	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John Ferrini SEP IRA - Sources Unlimited, Invested Through Beacon Associates LLC I	015456	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John Ferrini SEP IRA - Sources Unlimited, Invested Through Beacon Associates LLC I	015457	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John Ferrini SEP IRA - Sources Unlimited, Invested Through Beacon Associates LLC I	015457	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	John Slaster, Invested Through Beacon Associates LLC I	005613	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	John Slaster, Invested Through Beacon Associates LLC I	005613	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jordan Group LLC - Attn: John Jordan, Invested Through Beacon Associates LLC I	006334	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Jordan Group LLC - Attn: John Jordan, Invested Through Beacon Associates LLC I	006334	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Jordan Group, LLC - Attn: John Jordan	012792	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Jordan Group, LLC - Attn: John Jordan	012792	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Jordan Group, LLC - Attn: John Jordan	012792	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Judith H. Darsky	010650	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Judy Bernstein Bunzi	003321	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Judy Bernstein Bunzi	003321	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Karin B. Stoller	006678	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Karin B. Stoller	006678	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Kathleen Gasson As Investor In Beacon Assoc. LLC	012004	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Kaye Associates LP	012034	Claim for securities and/or credit balance denied (12/8/2009).	2/16/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	KH Holdings LLC	003223	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	KH Holdings LLC	003223	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Laborers Local 103 Annuity Fund	005966	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Laborers Local 103 Welfare Fund	005975	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Laborers Local 214 Pension Fund	006284	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Larry & Andrea Portal As Investor In Beacon Assoc. LLC	012012	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Laurance Garber	005502	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Laurance Garber	005502	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Laurie Manchester	003276	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Laurie Manchester	003276	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Lawrence & Jane Gould As An Investor In Beacon Assoc	011992	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Leonard & Manda Kristal	004788	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Leonard & Manda Kristal	004788	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Leonard & Wendy Goldberg 1983 Trust - Leonard & Wendy Goldberg, Trustees Invested Through Beacon	005603	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Leonard & Wendy Goldberg 1983 Trust - Leonard & Wendy Goldberg, Trustees Invested Through Beacon	005603	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	LJMMJ Investors LLC	003207	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	LJMMJ Investors LLC	003207	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Lloyd Sherwin Landa	010179	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Beacon Associates LLC	1B0118	Lois Teich	010674	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Lois Teich	010675	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO Barry Golub, Invested Through Beacon Associates LLC	003983	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO Barry Golub, Invested Through Beacon Associates LLC	003983	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO David Fastenberg	004851	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO David Fastenberg	004851	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO Eric Shakin	004817	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO Eric Shakin	004817	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Long Island Vitreo-Retinal Consultants 401K FBO Jeffrey Shakin	004837	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Loretta A. Campbell	007961	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Loretta A. Campbell	007961	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Lori Rubin Suser DDS PC Retirement Trust	003686	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Lori Rubin Suser DDS PC Retirement Trust	003686	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Lori Suser	014693	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Lori Suser	014693	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Louis Levin	003091	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Louis Levin	003091	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Luana Alesio IRA Rollover	004071	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Luana Alesio IRA Rollover	004071	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Lynn Ann Weinstein	003250	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Lynn Ann Weinstein	003250	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Madalyn C. Lehman	011325	Claim for securities and/or credit balance denied (12/8/2009).	1/12/2010
Beacon Associates LLC	1B0118	Madalyn C. Lehman	011326	Claim for securities and/or credit balance denied (12/8/2009).	1/12/2010
Beacon Associates LLC	1B0118	Madelyn M Dematteo	010716	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Madelyn Schloss IRA	015460	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Madelyn Schloss IRA	015460	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Maria C. Janis	003267	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Maria C. Janis	003267	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Marjorie Ziegelman	003287	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Marjorie Ziegelman	003287	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Marjorie Ziegelman 1997 Trust - Leonard Goldner, Trustee, Invested Through Beacon Associates LLC I	004870	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Marjorie Ziegelman 1997 Trust - Leonard Goldner, Trustee, Invested Through Beacon Associates LLC I	004870	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Mark Pollack, Invested Through Beacon Associates LLC I	006422	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Mark Pollack, Invested Through Beacon Associates LLC I	006422	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Mark Yeager As Investor In Beacon Assoc. LLC	012017	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Martin Schloss IRA Rollover, Invested Through Beacon Associates LLC I	006126	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Martin Schloss IRA Rollover, Invested Through Beacon Associates LLC I	006126	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Martin Tandler Roth IRA, Invested Through Beacon Associates LLC I	005389	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Martin Tandler Roth IRA, Invested Through Beacon Associates LLC I	005389	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Martin Tandler, Invested Through Beacon Associates LLC I	005391	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Martin Tandler, Invested Through Beacon Associates LLC I	005391	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Marvin Poster IRA, Beacon Associates LLC I	003540	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Marvin Poster IRA, Beacon Associates LLC I	003540	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Maude Davis	004306	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Maude Davis	004306	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Maude Davis	004306	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Maude Davis IRA, Invested Through FM Low Volatility Fund KP, Invested Through Beacon Associates LLC	005795	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Maude Davis Through Beacon Associates LLC I	015372	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Maude Davis Through Beacon Associates LLC I	015372	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Maude Davis Through Beacon Associates LLC I	015372	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Melinda Bush IRA Rollover	002961	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Melinda Bush IRA Rollover	002961	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Melissa Murray, Invested Through Beacon Associates LLC I	003687	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Melissa Murray, Invested Through Beacon Associates LLC I	003687	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Michael & Paula Maturo As Investor In Beacon Assoc. LLC	012011	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Michael A Cardozo IRA Rollover, Invested Through Beacon Associates LLC I	004867	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Michael A Cardozo IRA Rollover, Invested Through Beacon Associates LLC I	004867	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Michael And Jennifer Ruff			1/7/2010
Beacon Associates LLC	1B0118	Michael Morini	006841	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Michael Morini	006841	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Michael Nilan As An Investor In Beacon Assoc	011995	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Michael Thea	006666	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Michael Thea	006666	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Michele Sorkin As Investor In Beacon Associates	014886	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Michelle Appel As An Investor In Beacon Assoc	011994	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Mildred Sperling C/O Robert Sperling	005378	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Mildred Sperling C/O Robert Sperling	005378	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Mindy Gorman	015462	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Mindy Gorman	015462	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Mindy Kleinberg As Investor In Beacon Assoc. LLC	012006	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Morris Motzkin Revocable Trust	002984	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Morris Motzkin Revocable Trust	002984	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Mustang Sportswear Inc - Marvin Poster, President, Invested Through Beacon Associates LLC II	015450	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Mustang Sportswear Inc - Marvin Poster, President, Invested Through Beacon Associates LLC II	015450	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Nancy Donner As Investor In Beacon Associates	014887	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Nancy Dunst, Invested Through Beacon Associates LLC I	005088	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Nancy Dunst, Invested Through Beacon Associates LLC I	005088	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Nathaniel Fastenberg IRA Rollover	006574	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Nathaniel Fastenberg IRA Rollover	006574	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Nicky's And Judy's Children's Trust	004792	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Nicky's And Judy's Children's Trust	004792	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Nilan Family LLC As Investor In Beacon Assoc. LLC	012005	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	NTC & Co. FBO H. Richard Gafer, IRA Account	009727	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Beacon Associates LLC	1B0118	NYS Lineman's Safety Training Fund	005003	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	P&S Local 267 Insurance Fund	003831	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	P&S Local 267 Pension Fund	003818	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Patricia Snyder	007102	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Patricia Snyder	007102	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Patrick Nilan As Investor In Beacon Assoc. LLC	012009	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Paulette Z. Meiselas IRA	008253	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Paulette Z. Meiselas IRA	008253	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Peter Joseph & Elizabeth Scheuer Tstees	004947	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Peter Joseph & Elizabeth Scheuer Tstees	004947	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Peters Family Trust	003377	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Peters Family Trust	003377	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Plumbers & Steamfitters Local 267 Insurance Fund	003104	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Plumbers & Steamfitters Local 267 Insurance Fund	003106	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Plumbers Local 112 Health Fund	005503	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Priscilla Albrecht	003262	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Priscilla Albrecht	003262	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Rachel Mayrock Sands IRA Rollover	005935	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Rachel Mayrock Sands IRA Rollover	005935	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ramat Family Trust - Charles & Ora Ramat Trustees, Invested Through Beacon Associates LLC I	004123	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Ramat Family Trust - Charles & Ora Ramat Trustees, Invested Through Beacon Associates LLC I	004123	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Raplh & Lynne Whipple JT	003290	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Raplh & Lynne Whipple JT	003290	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Rayni Borinsky	004812	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Rayni Borinsky	004812	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	REI LLC - Attn: Isidore Mayrock	005840	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	REI LLC - Attn: Isidore Mayrock	005840	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ricelle Grossinger, Invested Through Beacon Associates LLC II	005618	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ricelle Grossinger, Invested Through Beacon Associates LLC II	005618	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Richard And Maureen Johnson As Investor In Beacon Assoc	014884	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Richard Horowitz	006904	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Richard Horowitz	006904	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Richard Stein As Investor In Beacon Assoc. LLC	012020	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Richard Turyn	003905	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Richard Turyn	003905	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert & Enid Winikoff JT, Invested Through Beacon Associates LLC I	004194	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robert & Enid Winikoff JT, Invested Through Beacon Associates LLC I	004194	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert E. Decker M.D.	000416	Claim for securities and/or credit balance denied (12/8/2009).	12/29/2009
Beacon Associates LLC	1B0118	Robert E. Decker M.D.	000416	Claim for securities and/or credit balance denied (12/8/2009).	3/30/2010
Beacon Associates LLC	1B0118	Robert J Rosenberg, Invested Through Beacon Associates LLC I	004878	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robert J Rosenberg, Invested Through Beacon Associates LLC I	004878	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert M. Hallman IRA Rollover	003901	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Robert M. Hallman IRA Rollover	003901	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert Murray, Invested Through Beacon Associates LLC I	015453	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robert Murray, Invested Through Beacon Associates LLC I	015453	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert Sperling IRA Rollover	005379	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robert Sperling IRA Rollover	005379	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robert W. Klein IRA, Beacon Assoc. LLC II	005048	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robert W. Klein IRA, Beacon Assoc. LLC II	005048	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robertus Houtenbos, Invested Through Beacon Associates LLC I	006369	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Robertus Houtenbos, Invested Through Beacon Associates LLC I	006369	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Robin S. Weinberger	010180	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Rolf Ostern Revocable Trust	003273	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Rolf Ostern Revocable Trust	003273	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ronald & Amy Goldberger JT	008142	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ronald & Amy Goldberger JT	008142	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ronald Dvorkin	014336	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Ronald Dvorkin	014337	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Beacon Associates LLC	1B0118	Ronald G. Caso	010455	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Beacon Associates LLC	1B0118	Ronald Goldberger IRA	008141	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ronald Goldberger IRA	008141	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Ronald Roose & Anne Page	003804	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Ronald Roose & Anne Page	003804	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Roofers Local 195 Health & Accident Fund	004332	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Roslyn Feuer As Investor In Beacon Assoc. LLC	012015	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	S Rendelok LLC	011937	Claim for securities and/or credit balance denied (12/8/2009).	2/16/2010
Beacon Associates LLC	1B0118	S.E.I.U. Local 200 United	003832	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Samuel Ginsburg	007078	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Samuel Ginsburg	007078	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Sanford & Ellen Ward JT WROS	002963	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Sanford & Ellen Ward JT WROS	002963	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	SBE/CEA Pension Plan	005164	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Scott & Susan Klau	003904	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Scott & Susan Klau	003904	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Scott Ehrenberg	011996	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Scott Familant - IRA Rollover	003147	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Scott Familant - IRA Rollover	003147	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Scott Rumbold As Investor In Beacon Associates	014885	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Service Employees Benefit Fund	005574	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Service Employees Pension Plan Of Upstate NY	006161	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Seymour W. Zises	003204	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Seymour W. Zises	003204	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Sofmic Partners LP - Attn: Michael Lam, Invested Through Beacon Associates LLC I	004199	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Sofmic Partners LP - Attn: Michael Lam, Invested Through Beacon Associates LLC I	004199	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Special Asset Liquidating Trust	010667	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	Special Asset Liquidating Trust	010667	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Stacey Rosenthal	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Stacey Rosenthal	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Stanley H Sussman	011405	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Stephen & Inger Sucic	003320	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Stephen & Inger Sucic	003320	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Stephen Solomon, Invested Through Beacon Associates LLC I	004127	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Stephen Solomon, Invested Through Beacon Associates LLC I	004127	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steve Raymond	003216	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steve Raymond	003216	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven & Karin Stoller JT	006677	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven & Karin Stoller JT	006677	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Steven & Karin Stoller JT	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Berns & Elizabeth Berns TIC	015459	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven Berns & Elizabeth Berns TIC	015459	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Eiseman As An Investor In Beacon Assoc	011997	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven Mait IRA Rollover	011146	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven Mait IRA Rollover	011146	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Mait IRA Rollover, Invested Through Beacon Associates LLC I	004183	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven Mait IRA Rollover, Invested Through Beacon Associates LLC I	004183	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Mait Revocable Trust - Steven Mait Trustee	011144	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Steven Mait Revocable Trust - Steven Mait Trustee	011144	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Mait Revocable Trust - Steven Mait Trustee, Invested Through Beacon Associates LLC I	004182	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Steven Mait Revocable Trust - Steven Mait Trustee, Invested Through Beacon Associates LLC I	004182	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Steven Sherwyn As Investor In Beacon Associates	014888	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Sue Nathanson	011676	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Sue Nathanson	011676	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Susan L. Mait Revocable Trust - Susan L. Mait Trustee	011145	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Susan L. Mait Revocable Trust - Susan L. Mait Trustee	011145	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Susan L. Mait Revocable Trust - Susan L. Mait, Trustee Invested Through Beacon Associates LLC I	004184	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Susan L. Mait Revocable Trust - Susan L. Mait, Trustee Invested Through Beacon Associates LLC I	004184	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Susan Laxton QPRT Trust - Martin Tandler, Trustee Invested Through Beacon Associates LLC I	005390	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Susan Laxton QPRT Trust - Martin Tandler, Trustee Invested Through Beacon Associates LLC I	005390	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Susan Sacher	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Susan Sacher	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Susan Saltz Charitable Lead Annuity Trust	014959	Claim for securities and/or credit balance denied (12/8/2009).	12/22/2009
Beacon Associates LLC	1B0118	Susan Saltz Descendants Trust	015429	Claim for securities and/or credit balance denied (12/8/2009).	12/22/2009
Beacon Associates LLC	1B0118	Susan Zises	003228	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Susan Zises	003228	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Syrabex, Inc.	003833	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Syracuse Builders Exchange, Inc.	003834	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Tamra Knepper As Investor In Beacon Assoc. LLC	012021	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Teresa Nilan As Investor In Beacon Assoc. LLC	012008	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	The Andrew Masi (Trustee)	003209	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	The Andrew Masi (Trustee)	003209	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	The Parent Company PSP	005978	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	The Parent Company PSP	005978	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	The Parent Company PSP - Gail Parent, Trustee, Invested Through Beacon Associates LLC I	014091	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	The Parent Company PSP - Gail Parent, Trustee, Invested Through Beacon Associates LLC I	014091	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	The Toffel Family Trust Of 2007	003396	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	The Toffel Family Trust Of 2007	003396	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Thomas J Archer IRA, Invested Through Beacon Associates LLC I	004134	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Thomas J Archer IRA, Invested Through Beacon Associates LLC I	004134	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Tienshan Inc Supplementary Retirement 401K FBO John Braunschweig, Invested Through Beacon Associates	004890	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Tienshan Inc Supplementary Retirement 401K FBO John Braunschweig, Invested Through Beacon Associates	004890	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Tri-Lev LLC - Dan Levinson, Manager Invested Through Beacon Associates LLC I	005566	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Tri-Lev LLC - Dan Levinson, Manager Invested Through Beacon Associates LLC I	005566	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Truce A Ray & April Rubin JT, Invested Through Beacon Associates LLC I	005602	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Truce A Ray & April Rubin JT, Invested Through Beacon Associates LLC I	005602	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Trust Of W Adler FBO Elizabeth Berns - Elizabeth & Steven Berns, Trustees, Invested Through Beacon	005402	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Trust Of W Adler FBO Elizabeth Berns - Elizabeth & Steven Berns, Trustees, Invested Through Beacon	005402	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Trust Of W. Adler FBO Cathy Feldman - Nancy Manket, Trustee	015454	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Trust Of W. Adler FBO Cathy Feldman - Nancy Manket, Trustee	015454	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Trust Of W. Adler FBO Nancy Manket	004832	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Trust Of W. Adler FBO Nancy Manket	004832	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Trust U/W Stuart Gorman FBO Mindy Gorman - Mindy Gorman, Dani Gorman, Ricki Penn, Trustees	007050	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Trust U/W Stuart Gorman FBO Mindy Gorman - Mindy Gorman, Dani Gorman, Ricki Penn, Trustees	007050	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	U.A. Local 73 Retirement Fund	005463	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	U.A. Local 73, AFL-CIO	003835 ⁸	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Upstate NY Baker Drivers & Industry Pension Fund	005482	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	Upstate Union Health & Welfare Fund	005949	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Beacon Associates LLC	1B0118	W Rendelok LLC	011936	Claim for securities and/or credit balance denied (12/8/2009).	2/16/2010
Beacon Associates LLC	1B0118	Wendy J Schriber	005492	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Wendy J Schriber	005492	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Wendy King Lautman IRA Rollover	003206	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Wendy King Lautman IRA Rollover	003206	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	William Hecht	002149	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Beacon Associates LLC	1B0118	William Hecht	009547	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

⁸ Claimant Without An Account invested in Beacon Associates LLC (Account 1B0118, Claim 003835), but also may have had a direct account 1L0127 at BLMIS.

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Beacon Associates LLC	1B0118	Willis Ryckman	003051	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Willis Ryckman	003051	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Beacon Associates LLC	1B0118	Wolff Living Trust - Laurie Latt Wolff, Trustee, Invested Through Beacon Associates LLC I	006820	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Beacon Associates LLC	1B0118	Wolff Living Trust - Laurie Latt Wolff, Trustee, Invested Through Beacon Associates LLC I	006820	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Fairfield Sentry Limited	1FN069	Vikas And Neelam Parasram Daryani	010851	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Ameeta Vijayvergiya	015224	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Amir Releg And Naama Gur-Peleg	014139	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Arie & Ayala Givony	014135	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Arjan Mohandas Bhatia And/Or Kishin Mohandas Bhatia And/Or Suresh M. Bhatia And/Or Bharat Mohandas	015112	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Arrowgoal Business S.A.	014413	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN012	Aryeh & Michal Berman	014140	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Asher And Aliza Kutner	014122	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Ashokkumar Damodardas Raipancholia	014680	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Ashokkumar Damodardas Raipancholia	014681	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Ashokkumar Damodardas Raipancholia And/Or Dilip Damodardas Raipancholia And/Or Rajeshkumar Damodarda	015113	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Ashokkumar Damodardas Raipancholia, Dilip Damodardas Raipansholia, Rajeshkumar Damodardas Raipanchol	015232	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Ashokkumar Damodardas Raipancholia, Et Al	015353	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Au Yuet Shan	011959	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Avt International Holdings SA	014137	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Fairfield Sentry Limited	1FN069	Aw Sue Ling	011954	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	AXA Private Management	014153	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	B.H.K. Investments	014125	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Behar Doron & Vered	014129	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Bejarano Mordechai & Yael	014098	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Booming Inversiones S.I.C.A.V., S.A.	013319	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Brazoria S.A.	012742	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN069	Brenthurst Absolute Return Fund	100318	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Brenthurst Absolute Return Fund C/O Michael R Dal Lago, Morrison Cohen LLP	015258	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Chang-Hsi Yang	004260	Claim for securities and/or credit balance denied (12/8/2009).	1/19/2010
Fairfield Sentry Limited	1FN069	Charles & Miriam Wood Charitable Remainder Trust U/A 12/8/03	015270	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Charles & Miriam Wood Charitable Remainder Trust U/A 12/8/03	015270	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Fairfield Sentry Limited	1FN069	Charles Nicholas Doyle & Linda Doyle	011986	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Chen Ming Min	009089	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Cheung Lo Lai Wah Nelly	011950	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Christopher Iain Carlyle Jackson & Margaret Eleanor Jackson	011809	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Chung Thi Minh-Thu	011808	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Cohen Dror & Ravit	014133	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Daniela Estremadoyro Morales	009776	Claim for securities and/or credit balance denied (12/8/2009).	2/2/2010
Fairfield Sentry Limited	1FN012	Daniella Epstein Csengeri	014101	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	David Alec Andrew Fleming	011935	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	David Williams	008419	Claim for securities and/or credit balance denied (12/8/2009).	12/22/2009
Fairfield Sentry Limited	1FN069	David Williams	008559	Claim for securities and/or credit balance denied (12/8/2009).	12/22/2009
Fairfield Sentry Limited	1FN012	Diandra De Morrell Douglas Foundation	014708	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Diandra Douglas IRA	014709	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Dovrat Miri	014094	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Dovrat Miri	014094	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Dovrat Shlomo & Anat	014095	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000398	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000398	Claim for securities and/or credit balance denied (12/8/2009).	4/14/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000398	Claim for securities and/or credit balance denied (12/8/2009).	3/26/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000409	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000409	Claim for securities and/or credit balance denied (12/8/2009).	4/14/2010
Fairfield Sentry Limited	1FN012	Dr. Laurence Wiener	000409	Claim for securities and/or credit balance denied (12/8/2009).	3/26/2010
Fairfield Sentry Limited	1FN069	Edith & Dror Avni	014099	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Egea Gustavo	004264	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Egea Gustavo	004264	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Fairfield Sentry Limited	1FN069	Enrique Diego Gebert And Monica Cristina Gebert	011356	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN069	Ermitage Finance Corp.	014748	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Ermitage Finance Corp./Fairfield Sentry Limited	013806	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Esteban Arce Herrera & Nieves Santisteban	007367	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009
Fairfield Sentry Limited	1FN069	Eugenia Cecilia Barreto Salazar	008522	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Fairfield Sentry Limited	1FN069	Eva Schatti	012146	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Eyal And Tali Berkovich	014120	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Fairfield Lambda Limited	014795	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN070	Fairfield Lambda Ltd.	014761	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Fairfield Lambda Ltd.	014762	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN045	Fairfield Lamda Limited	014661	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN045	Fairfield Sigma Limited	011240	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Fairfield Sigma Limited	011249	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN070	Fairfield Sigma Limited	011250	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Fairfield Sigma Limited	011744	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Federico Agardy; Marta Torossian De Agardy	003017	Claim for securities and/or credit balance denied (12/8/2009).	1/13/2010
Fairfield Sentry Limited	1FN069	Frederick Roy White	011982	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Fulgencio Pelegrin Llamas & Enrique Tamaestre Frases	008480	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Fairfield Sentry Limited	1FN069	Gassolans Inversiones S.I.C.A.V., S.A.	013318	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Gazit Usi & Mina	014100	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Gitelman Shoshona C/O Ana Sokolev	014136	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Hathor Invest S.I.C.A.V., S.A.	013328	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Hernan Pflaum	011361	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN012	Inversiones Lutimo S.I.C.A.V., S.A.	013333	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Jacob & Nava Aizikowitz	014128	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Jacques Jean-Marie	009473	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Fairfield Sentry Limited	1FN069	James Robert Fink	011951	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Jayashree Bhatia, Nirmala K Bhatia, Kishinchand Gangaram Bhatia Copal Gangaram Bhatia	005785	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Jitendra Gopaldas, Gopal Gangaram Bhatia, Kishanchand Gangaram Bhatia, Nirmala Kishincharnd	005804	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	John Stirling Gale	011979	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Jose De Passos Vieira Lima	003592	Claim for securities and/or credit balance denied (12/8/2009).	1/15/2010
Fairfield Sentry Limited	1FN012	Jose Haidenblit	009320	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Judith Elizabeth Sihombing	011984	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Julian Bruce Childs	011952	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Kamalkishore Muchhal & Aruna Muchhal	011942	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Keiichi Ikegami	009501	Claim for securities and/or credit balance denied (12/8/2009).	1/15/2010
Fairfield Sentry Limited	1FN012	Kishanchand Gangaram Bhatia, Gopal Gangaram Bhatia Pushpa Gangaram Bhatia Mandakinin Manish Gajaria	005789	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Kishu Nathurmal Uttamchandani And Uttamchandani Prerna Vinod	015110	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Kishu Nathurmal Uttamchandani And Uttamchandani Prerna Vinod	070163	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Kobi Rozengarten C/O M Seligman & Co.	014103	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Lai Kau & Lai Si Ying	011949	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Lai Ming Wai	011987	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Lam Pok Lai Anthony	011943	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Lancaster Overseas Ltd	004103	Claim for securities and/or credit balance denied (12/8/2009).	12/21/2009
Fairfield Sentry Limited	1FN069	Law Kin Kwok & Yung Chi Shan Christine	011988	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Layla Yousif Almoayed	007826	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Fairfield Sentry Limited	1FN069	Lemania Sicav-Sif C/O King Spalding LLP Attn: Richard A Cirillo	015260	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Lemania Sicav-Sif C/O King Spalding LLP Attn: Richard A Cirillo	015263	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Leon International Enterprises Co.	007158	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Li Fung Ming Krizia	011978	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Lim Gee Chung Godfrey & Ho Yuk Fung Jackie	011960	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Linden Coppell & Paul Maddocks	011806	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Lo Kin Ming	011810	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Ltd Editions Media Inc Defined Benefit Plan Trust DTD 2/9/99 C/O S Nierenberg, Trustee	005072	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Ludovico Videla And Josefina Perriau De Videla	013418	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN012	M.G.L. Zeevi Investments Ltd.	014096	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Maitland Trustees Ltd.-Tigine Trust C/O	013518	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Maitland Trustees Ltd-Task Ebt, C/O	013517	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Manuel Salvador Franco	003617	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Marian Staniszewski	007281	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Fairfield Sentry Limited	1FN069	Mario Valenzuela Vizcarra	004456	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Matthew McCallum & Iris Hoi	011812	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Maureen Edwards	011953	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	McFarland Investments Corp.	011366	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN012	Michael & Daliah Belkine C/O M Seligman & Co.	014104	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Michael R. Dal Lago, Attorney	100249	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Michael R. Dal Lago, Attorney	100250	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Michiel Van't Laar & Judith Leenaerts	008826	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Miguel Lomeli	014651	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Milton Fine 1997 CRUT	004726	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Fairfield Sentry Limited	1FN012	Morning Mist Holding Limited	008873	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Moshe And Naomi Fejgin	014138	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Moshe Pesach	005719	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Mr Azmy Y. Abd El Sayed	008592	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Nachum & Ayelet Tal	014127	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Nadio Finance S.A.	007160	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	National Bank Of Kuwait S.A.K.	013751	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	National Bank Of Kuwait S.A.K.	013899	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Nbk Banque Privee (Suisse) SA C/O King Spalding LLP Attn: Richard A Cirillo	015259	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Nbk Banque Privee (Suisse) SA C/O King Spalding LLP Attn: Richard A Cirillo	015262	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Nh Shok Mui Susanna & Ng Shok Len	011957	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Nikesh And Neelam Parasram Daryani	010850	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Original Finance Ltd.	008412	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Oversea-Chinese Bank Nominees Private Limited	015264	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Patricio Maldonado	005128	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Fairfield Sentry Limited	1FN069	Paul Allan Wheatley	011948	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Paula Patricia Marriott & Timothy Clive Marriott	011989	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Pedi Finances S.A.	007161	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Power King	014102	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Privat Fondos Global F.I.	013334	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Rachel Anne Rogers	011807	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Rajendrakumar Patel And Vadna Patel C/O	015352	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Rajendrakumar Patel And Vandna Patel	015111	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Rajendrakumar Patel And Vandna Patel	015145	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Rajendrakumar Patel And Vandna Patel	015146	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Rajendrakumar Patel And Vandna Patel	070032	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Ramiro Castillo Marin, Marcela Kappelmayer, Marta Dominguez	003518	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Fairfield Sentry Limited	1FN069	Richard Armstrong Lant & Trudy Caroline Lant	011934	Claim for securities and/or credit balance denied (12/8/2009).	2/5/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Richard Ian Livingston	011946	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Richard Jeffrey Clive Hartley C/O Cosmos Regent Holdings, Ltd	011990	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Richard Rawlinson	011983	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Robert Douglas Steer And Jeanette Margaret Scott Steer	014789	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Rossana Powsner	014141	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Sala Sihombing	011945	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Samuel Frederick Rohdie & Lam Shuk Foon Margaret	011955	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Schlomo Benjamin	005429	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Fairfield Sentry Limited	1FN012	Sergio Drabkin	014105	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Shilling Inversiones S.I.C.A.V., S.A.	013335	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Shlomit And Gideon Steinitz C/O M Seligman & Co.	014132	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN012	Simon Laor	014134	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Smorodinsky Rann & Firon Smorodinsky Tamar	014123	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Sokvershatz Avishai	014124	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	St. Stephen's School	003559	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Stephen Bernard Roder & Richa Roder	011962	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Stichting Shell Pensioenfond	014420	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN012	Strip Inversiones S.I.C.A.V, S.A.	013422	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Susan Miranda Selwyn C/O The Int'L Securities Consultancy Ltd.	011933	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Tamar Shine Rakavy	014126	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN069	Tatsuo Znegawa	009500	Claim for securities and/or credit balance denied (12/8/2009).	1/15/2010
Fairfield Sentry Limited	1FN069	The Lolita Trust	012207	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	The Lolita Trust	012207	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Fairfield Sentry Limited	1FN069	Timothy Harry Casewell & Ush Bardolia Casewell	011981	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Timothy Robert Balbirnie	011980	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	To Wing Sik Benjamin	011958	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Tomas Reinaldo Kuhlcke	011365	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Fairfield Sentry Limited	1FN012	Tradewaves Ltd	004429	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN045	Traviata Consultants Ltd.	009809	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Fairfield Sentry Limited	1FN012	Vestimonde Inc.	010821	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Fairfield Sentry Limited	1FN069	Wiinchester Global Trust Company Limited Attn: Carolynn Hiron	015151	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Fairfield Sentry Limited	1FN069	Yeung Chee Shing Anthony & Lee Lai Chun Agnes	011940	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN069	Yeung Kai Tai & Leung Chun Man Connie	011985	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Fairfield Sentry Limited	1FN069	Ying Yu Hing	011961	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Fairfield Sentry Limited	1FN012	Yochanan Yaeh Slonim & Margarit Slonim	014121	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Fairfield Sentry Limited	1FN012	Yovav & Esther Feffer C/O M Seligman & Co.	014130	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Gabriel Capital, LP	1G0321	(Burt Ross, Trustee) The Burt Lee Ross Trust	014463	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Gabriel Capital, LP	1G0321	Aozora Bank Ltd., Attn: Jonathan Fiorello	012848	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Gabriel Capital, LP	1G0321	Barry D. And Sherry Leiwant (Joint Account)	015692	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Gabriel Capital, LP	1G0321	Charles M Haar C/O Timothy W Mungovan, Nixon Peabody LLP	012999	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Gabriel Capital, LP	1G0321	Charles M. Haar	014595	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Gabriel Capital, LP	1G0321	Elisabeth Familian, TTE	005927	Claim for securities and/or credit balance denied (12/8/2009).	1/27/2010
Gabriel Capital, LP	1G0321	John L. Steffens	012112	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Gabriel Capital, LP	1G0321	Morris Fuchs Holdings LLC	013416	Claim for securities and/or credit balance denied (12/8/2009).	5/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Gabriel Capital, LP	1G0321	Peter M. Lehrer And Eileen Lehrer	004730	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Gabriel Capital, LP	1G0321	Philip Ross (Acct Held In Gabriel Capital LLP)	012763	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Gabriel Capital, LP	1G0321	Richard Witten	000021	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Gabriel Capital, LP	1G0321	Ronald Lightstone	008628	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Greenwich Sentry LP	1G0092	Caceis (Bermuda) Limited Attn: Carolyn Hiron	015150	Claim for securities and/or credit balance denied (12/8/2009).	1/21/2010
Greenwich Sentry LP	1G0092	David I Ferber SEP IRA	015239	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Greenwich Sentry LP	1G0092	Dianne Carol Ward	015123	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Greenwich Sentry LP	1G0092	Dianne Carol Ward	015123	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Greenwich Sentry LP	1G0092	Dianne Carol Ward, IRA	015130	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Greenwich Sentry LP	1G0092	Dianne Carol Ward, IRA	015130	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Greenwich Sentry LP	1G0092	John E Guinness Revocable Trust DTD 6/11/92	014405	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Greenwich Sentry LP	1G0092	John E Guinness Revocable Trust DTD 6/11/92	014405	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry LP	1G0092	Milton Fine Revocable Trust	004725	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry LP	1G0092	Peter A. Carfagna Charitable Remainder Trust	013754	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Greenwich Sentry LP	1G0092	Peter A. Carfagna Charitable Remainder Trust	013754	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry LP	1G0092	Raymond M. Murphy	014863	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Greenwich Sentry LP	1G0092	Raymond M. Murphy	014863	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry LP	1G0092	Roger A. Enrico IRA	014860	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Greenwich Sentry LP	1G0092	Roger A. Enrico IRA	014860	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry LP	1G0092	William B. Korb IRA	015257	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Greenwich Sentry LP	1G0092	William B. Korb IRA	015257	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Greenwich Sentry Partners, LP	1G0371	Frank E. Pierce III, IRA	015148	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Greenwich Sentry Partners, LP	1G0371	Frank Pierce	015147	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Greenwich Sentry Partners, LP	1G0371	Gail Plautz	015149	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Greenwich Sentry Partners, LP	1G0371	Gil Boosidan	000507	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Agnes M. Szpunar	014698	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Alois Gruber	010666	Claim for securities and/or credit balance denied (12/8/2009).	2/3/2010
Herald Fund SPC-Herald USA	1FR109	Amy J. Abler	002171	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Amy J. Abler	002271	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Anchor Holdings LLC	008629	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Andrew Gay	008180	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Andre S. Michalak	002349	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Andreas & Karin Kozich	003551	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Andreas Haun	013056	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Herald Fund SPC-Herald USA	1FR109	Anna Jung	014721	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Annemarie Thrainer	013078	Claim for securities and/or credit balance denied (12/8/2009).	1/25/2010
Herald Fund SPC-Herald USA	1FR109	Anthony Ferroni	002359	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Barry Berggren	002254	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Barry Berggren	015623	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Barry J. Wilson	002121	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Beatrix Pratscher	007179	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Bejarano Mordechai & Yael	014097	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Bernard J Walker	007873	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Blanka Schossleitner	014718	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Blue Bonnet Enterprises			12/28/2009
Herald Fund SPC-Herald USA	1FR109	Bradley T. Pine	001938	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Brenda F. And Grover B. Rockey	002396	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Brenda R. Rockey	002397	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Brigitte & Friedrich Hoder	014720	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Brigitte Zink	007190	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Cahal Carmody	002509	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Carl E. Brautigam	002493	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Carlos Ammann	012083	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Carlos Ammann	012224	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Carlton G. King Dmd, PA	002332	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Chester Sweeney	001801	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Chrisco Ltd.	001616	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Christian Eichlehner	007188	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Christine Kelley	008074	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Christine Leja	002312	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Chuck & Karen Parrish	002109	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Clifford D Hayes	011417	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Clifford D. Hayes	003182	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Clifford Risher-Kelly	002154	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Cutmann Kapitalanlageaktiengesellschaft As Representative For The Fund Sirius 29	012205	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	D. Michael Macleod	002516	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Dana Trezziova	001225	Claim for securities and/or credit balance denied (12/8/2009).	12/29/2009
Herald Fund SPC-Herald USA	1FR109	Daniel Punz	010518	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Daniel Von Braun And Andrea Von Braun Stiftung	012220	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Danny Ray	008823	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Darlene L. O'Daniels	002437	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	David A. Doyle	002111	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	David Wall	002281	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Di Ambroz Oder Waltraud Preatoni	013060	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Di Michael Hutteneder	007185	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Donald G. Columbus	001566	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Doris Mader/Thomas Haider	007168	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Doug Kulm (Trustee)	002688	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Dr. Alexandra Pollanka/ Norbert Pollanka	007184	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Daniel Schatti	012081	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Daniel Schatti	012225	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Harald Kolassa	007177	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Helmut Oder Dr. Andrea Gattinger	013058	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Kaspar Von Braun And Andrea Von Braun Stiftung	012217	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Dr. Rene Ernst	012222	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Elfriede Vrana	010516	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Elisabeth Haider	007169	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Elizabeth L. Schreiner	001643	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Erich Grohe	003697	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Erika Bernath	014713	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Eugenie & Dr. Roland Aulinger	007176	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Evans Woollen	005737	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Ferdinand Edlinger	010521	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Ferdinand Sedivy	005218	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Ferreydun Voss-Ughi	003545	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Fleck Elektroinstallationen Ges. M. B.H.	007191	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Fleck Privatstiftung	010936	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Fluke, Kenneth, OCBB IRA FBO			12/28/2009
Herald Fund SPC-Herald USA	1FR109	Fluke, Lesley, Hedge Fund			12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Franz Oder Gabriele Schinwald	010627	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Franz Oder Hildegard Stockinger	012765	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Franz R. Zimmer	007193	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Franz Schmidt	014717	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Friedrich Nemec	012195	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Friedrich Oder Theresia Neuhofer	014749	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Gebhart Ingrid	014716	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	George Hall	008067	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Gera Bergh	012194	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Gerald Goed	007186	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Gerald Stuhr	003548	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Gloria J. Strazar	001913	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Grayson, Laura, OCBB FBO			12/28/2009
Herald Fund SPC-Herald USA	1FR109	Gregory A. Sherar	004125	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Grover B. Rockey	002398	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Hannelore Leyk-Hierhold	013057	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Hans Ulrich Benz	012221	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Heinrich Svitak	007181	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Helene Czsongei	003552	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Helene Czsongei	006818	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Helga Wagenmann	012166	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Helmut Eder & Maria Eder	003550	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Helmut Gschladt	014723	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Helmut Schutz	014715	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Herbert Marker	014873	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Herald Fund SPC-Herald USA	1FR109	Howard B Thompson IRA Rollover	002496	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	HSBC Securities Services (Luxembourg) SA Spec Cust Acct	011307	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Herald Fund SPC-Herald USA	1FR109	Ilse Della Rowere	070034	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Ine Litner	007172	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Ing. Erich Und Erika Stutz	013032	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009
Herald Fund SPC-Herald USA	1FR109	Ing. Thomas Kunst	007166	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Insure Your Health Forrar, Beatrice	013742	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Intercarton Ges.m.b.H	007175	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Int'L. Castings & Equipment, Inc. Defined Benefit Plan	002089	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Isa Dorothea Hoppenstedt	012202	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Jack Lowder	004852	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jair Zelmanovics	007170	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	James C. Matthews III	003121	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	James Hughes	003184	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	James R. Benoit	001653	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jan Hoppenstedt	012201	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Janice S. Wilson	002198	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jay L. Oliverio	015620	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jay Paris	004606	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Jean Marcali	002646	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jeffrey W. Hawkins	001532	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jennifer Hawthorne	001935	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jerrold L Mallory	005094	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jerry Lee Jamison	001933	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jerry Oravec	001776	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Johann Auer	010517	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Johann Auer And Leopoldine Auer	010519	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Johanna Von Braun And Andrea Von Braun Stiftung	012218	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	John A. Jamison	001725	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	John A. Jamison	001727	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	John A. Ross, SEP/IRA	002177	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	John B. Malone	002344	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	John H Patterson	004667	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	John H. Patterson	002480	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Jona Solomon	007171	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Josef Mayer	010515	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Josef Reiter	010868	Claim for securities and/or credit balance denied (12/8/2009).	12/29/2009
Herald Fund SPC-Herald USA	1FR109	Josef Seidl	013059	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Herald Fund SPC-Herald USA	1FR109	Joseph C Dougherty	006966	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Joseph C. Dougherty	002275	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Judith Roach Jackson	002088	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Jutta Vogt	005945	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Karen Yvonne Jamison	001932	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Karl Baumann	010522	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Katherine A Blake	007453	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kathleen E. Lindblad	001989	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kathleen E. Lindblad	008116	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kenneth L. Hunton	002428	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kevin J. Messmer	001808	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kevin M. Butler	004942	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Kevin M. Butler	004944	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Klaus Weickl, Helmut Spraiter	015392	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Krystyna Zelenka	012204	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Herald Fund SPC-Herald USA	1FR109	Larry Hermone	002380	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Larry Q Bowers	001942	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	LBA, LLC	003868	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Lee Bowers	003117	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Lee Manson	004998	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Liang, Heng-Chang & Yang, Tsui-Chan	004448	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009
Herald Fund SPC-Herald USA	1FR109	Lillian Depasquale	002054	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Lillian Depasquale	002055	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Lillian Depasquale	002056	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Lorraine Kirk	001738	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Ludwig Piros	007167	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Mag Andreas Lang	007178	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Mag. Kurt Altenburger	005221	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Mandlon M. Blount	001774	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Manfred Moravec	005219	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Manfred Moravec	005220	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Margaret Butler	004943	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Margaret S Van Dyke	015626	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Margaret S Van Dyke	015626	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Margaret S Van Dyke	015626	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Margaret S Van Dyke	015626	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Mario Oder Renate Kaindl	013082	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Herald Fund SPC-Herald USA	1FR109	Mark Elam	002727	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Martha A. (Marny) Gilluly	002181	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Marueen J. Oliverio	003893	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Mary Palko	002639	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Matthaus Zelenka	012203	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Herald Fund SPC-Herald USA	1FR109	Matthew Francis Carroll	003327	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Michaela Lintner	007173	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Milka Eichlehner And Christian Eichlehner	007187	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Mitchell A. Fleisher, M.D.	002205	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Mr. & Mrs. William P. Kervin	003208	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Ms Susan Fedah & Mr Manfred Essletzbichler	003549	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Narendra Sood	004117	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Natalia Cole	014719	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Nicholas Heidenry	002785	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Occb FBO Stephen Richards IRA	002730	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Oliver Stangl	007182	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Orange County Business Bank As Trustee For The James W. Davidson IRA	004893	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Paul C. Sheets	001739	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Paul E. Ferrari	001900	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Peter Weinhofer			1/6/2010
Herald Fund SPC-Herald USA	1FR109	Pichler Elisabeth	013081	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Pichler Elisabeth	013081	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Radha Trivedi	008081	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Radmila Dolezal	003547	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Raymond Lekowski	002169	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Raymond Porchet	012147	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Regine Vaget	007180	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Rene Durst	012085	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Resch Johannes	011254	Claim for securities and/or credit balance denied (12/8/2009).	12/31/2009
Herald Fund SPC-Herald USA	1FR109	Richard Alan Krogel	004246	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Richard G. Corey	003566	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Robert Wittmann	003553	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Roman Trojan & Danuta Trojan	007622	Claim for securities and/or credit balance denied (12/8/2009).	11/10/2009
Herald Fund SPC-Herald USA	1FR109	Roman Trojan & Danuta Trojan	007622	Claim for securities and/or credit balance denied (12/8/2009).	4/12/2010
Herald Fund SPC-Herald USA	1FR109	Serge Vossoughi	003544	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Shephard, Kenneth, OCBB IRA FBO			12/28/2009
Herald Fund SPC-Herald USA	1FR109	Sparkasse Reutte	007174	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Star Jamison	001726	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Steven J & Stephanie I Wasson Trust	010989	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Thomas Blaho	003546	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Thomas G Van Dyke	015625	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Thomas G Van Dyke	015625	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Thomas G Van Dyke	015625	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Thomas G Van Dyke	015625	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Thomas G. Van Dyke And Margaret S. Van Dyke	001887	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Thomas G. Van Dyke And Margaret S. Van Dyke	001887	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Thomas G. Van Dyke And Margaret S. Van Dyke	001887	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Thomas G. Van Dyke And Margaret S. Van Dyke	001887	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Herald Fund SPC-Herald USA	1FR109	Thomas Gavin Newton	001777	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Tihomir Pesikan	014722	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Tiroler Landhaus Privatstiftung	012216	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	Tom Manson	004999	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Unterrainer Transporte	013077	Claim for securities and/or credit balance denied (12/8/2009).	1/4/2010
Herald Fund SPC-Herald USA	1FR109	Ursula Schulz-Dornburg	012219	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Herald Fund SPC-Herald USA	1FR109	Vance, Richard, OCBB IRA FBO			12/28/2009
Herald Fund SPC-Herald USA	1FR109	Werner Eckhardt	007189	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Herald Fund SPC-Herald USA	1FR109	William E. Evans	001688	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	William S. Carpenter	002723	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Herald Fund SPC-Herald USA	1FR109	Wolfgang Pruger	007183	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Kingate Euro Fund Ltd. - In Liquidation	1FN086	BGL Trustees Limited As Tstee	008046	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009
Kingate Euro Fund Ltd. - In Liquidation	1FN086	BGL Trustees Limited As Tstee	008047	Claim for securities and/or credit balance denied (12/8/2009).	12/30/2009
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Dr. Krystyna Zelenka	012082	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Dr. Krystyna Zelenka	012223	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Eva Schatti	012086	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Peter Rischl	012079	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Peter Rischl	012164	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Kingate Euro Fund Ltd. - In Liquidation	1FN086	Riva Y Garcia Seleccion Alternativa IICIICL	013320	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Angels Park Management SA	015355	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Bright Colors Design Center, Inc.	015354	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Evangelista Fabrice	013868	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Hugo Antonio Romay	011360	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Kimiko Date - Krumm	010619	Claim for securities and/or credit balance denied (12/8/2009).	1/27/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Mel Enterprises Ltd	007216	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Michael Irvine Brittan	007494	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Michael Irvine Brittan	012983	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Michael Krumm	010620	Claim for securities and/or credit balance denied (12/8/2009).	1/27/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Kingate Global Fund Ltd. - In Liquidation	1FN061	Remy Investments Corp	009170	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Saucele Investment SA Panama	015356	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Kingate Global Fund Ltd. - In Liquidation	1FN061	Sunyei Ltd.- Jaques Lamac	005041	Claim for securities and/or credit balance denied (12/8/2009).	2/1/2010
Maxam Absolute Return Fund LP	1M0232	AK PC Defined Benefit Pension Plan	003866	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	AK PC Defined Benefit Pension Plan	003866	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1m0232	Alan S. Samuels IRA, Invested Through Maxam Absolute Return Fund, LP	004882	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Alan S. Samuels IRA, Invested Through Maxam Absolute Return Fund, LP	004882	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Charles Schwab & Co. As Custodian For Robert Robbin IRA	002988	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Con Trust Reg. As Trustee Of The Rembrandt Trust	012251	Claim for securities and/or credit balance denied (12/8/2009).	1/26/2010
Maxam Absolute Return Fund LP	1M0232	Debra Kremsky-Schwartz IRA Rollover	003229	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Debra Kremsky-Schwartz IRA Rollover	003229	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Maxam Absolute Return Fund LP	1M0232	Edith Frank IRA	003316	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Edith Frank IRA	003316	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Etes Family Investment Partnership	004781	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Etes Family Investment Partnership	004781	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	FM Low Volatility Fund LP	003205	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	FM Low Volatility Fund LP	003205	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Futuresselect Prime Advisor II, LLC	015116	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Maxam Absolute Return Fund LP	1M0232	Futuresselect Prime Advisor II, LLC	015116	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Maxam Absolute Return Fund LP	1M0232	Jerrold Johnston	009061	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	John Ferrini	003152	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	John Ferrini	003152	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Maxam Absolute Return Fund LP	1M0232	John Savino MD PC Employee Pension Plan FBO John Savino, Invested Through Maxam Absolute Return Fund	006325	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	John Savino MD PC Employee Pension Plan FBO John Savino, Invested Through Maxam Absolute Return Fund	006325	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Laura L. Scheuer, Invested Through Maxam Absolute Return Fund, LP	004871	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Laura L. Scheuer, Invested Through Maxam Absolute Return Fund, LP	004871	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Marvin Poster, Absolute Return Fund, LP	003539	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Marvin Poster, Absolute Return Fund, LP	003539	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Michael And Jennifer Ruff			1/7/2010
Maxam Absolute Return Fund LP	1M0232	Mr. Ron Laboni (IRA)	002253	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Rachel Mayrock Sands	005936	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Rachel Mayrock Sands	005936	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	REI LLC - Attn: Isidore Mayrock	005843	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Maxam Absolute Return Fund LP	1M0232	REI LLC - Attn: Isidore Mayrock	005843	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Seymour W Zises 1989 Trust	003063	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Seymour W Zises 1989 Trust	003063	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Seymour W. Zises	003219	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Seymour W. Zises	003219	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Steven Robert Charno & Lilia Marie Charno JT WROS, Invested Through Maxam Absolute Return Fund, LP	005089	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Steven Robert Charno & Lilia Marie Charno JT WROS, Invested Through Maxam Absolute Return Fund, LP	005089	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	Tessler Family Foundation Inc	003393	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	Tessler Family Foundation Inc	003393	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	The Elliot Mayrock Revocable Trust - Elliot Mayrock	005842	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	The Elliot Mayrock Revocable Trust - Elliot Mayrock	005842	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Maxam Absolute Return Fund LP	1M0232	The Kiev Foundation, Inc - Attn: Ari Kiev & Marshall Kiev	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	The Kiev Foundation, Inc - Attn: Ari Kiev & Marshall Kiev	015349	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Maxam Absolute Return Fund LP	1M0232	The Mountaintop Foundation - Attn: Richard McGinn, Invested Through Maxam Absolute Return Fund, LP	004130	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Maxam Absolute Return Fund LP	1M0232	The Mountaintop Foundation - Attn: Richard McGinn, Invested Through Maxam Absolute Return Fund, LP	004130	Claim for securities and/or credit balance denied (12/8/2009).	1/22/2010
Rye Select Broad Market Fund, L.P.	1T0027	Aegis Holdings (Onshore) Inc FBO The Beaumont Master Fund, LLC	006563	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Agile Composite Fund L.P.	012911	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Agile Safety Fund International - Euro	012909	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Agile Safety Fund International	012905	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Agile Safety Fund L.P.	012908	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Allison Matz Sepielli	003611	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	American Life Insurance Consultants Inc. Retirement Plan	000424	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Andringa Family Trust, Judy L. Feenstra Trustee	014459	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Anne A. Quinn	010939	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Arthur I. Segel	008914	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Bankers Trust Co., Trustee For The James W. Hubbell, Jr Children's Trust	013931	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Barbara Scherr	003594	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Barry E. Kellogg	011774	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Brinton C Donalson Trust DTD 11/17/1992	011785	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	BV Investors, LP	006400	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Candace Newlove	013014	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Celayne Jones, Personal Rep For The Estate Of Thos. Jones	014916	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Celayne Jones, Personal Rep For The Estate Of Thos. Jones	014916	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Charles Edward Raines	010903	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Charles F. Buczek	015514	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Charles J. Pellerin, Jr.	015596	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Christi Abraham Irab	003570	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Colin T. And Yvonne E.S. Barnett	013982	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Colin T. Barnett	013980	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Cynthia Holt	015518	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Daniel E And Joanne B Barnard	012994	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Darcy Ramirez	010618	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	David & Julianna Pyott Living Trust	003430	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	David A. Roalstad	011747	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	David H. Wartburg	014007	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dennis A. Grahm	015595	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dennis A. Grahm	015595	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dolores Carmichael-Watson	015314	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Donald L Nicolay	012026	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Donald W Coen & Frances L Coen	013230	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Donna J. Meyer	015520	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dorothy Jackson	015503	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dorothy Klausner IRA	003427	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dorothy L Klausner	003423	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Dr. Steven And Debra Fagien	004277	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Elizabeth Marcus Moore & Christopher E. Moore	013564	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ellen L. Bussi-Sottile	011292	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Family Partners, LLP	004159	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Frank A. Diehl	013576	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Fred Niccore	015498	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC	015115	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC	015115	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC	015128	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC	015128	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC On Behalf Of The Investor Accounts (As Defined In The Attached...	015118	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Futuresselect Prime Advisor II, LLC On Behalf Of The Investor Accounts (As Defined In The Attached...	015118	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Gary J Matthews	010662	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Gary L. Gates	013991	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Gary W. Powell	015579	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Gerald Greenspoon	009332	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Glenn Akiva Frishman Life Insurance Trust, Rebecca Silberstein As Trustee	011209	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Glenn And Marian Head	011606	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Glenna J. Eddy	010898	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Gloria F. Gottlieb	000422	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Greg David Anderson	002635	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Henrietta Gilbert	011049	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Henry J. Yeager	011265	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Herman Schranz	011402	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Intentional Leadership, Inc Defined Benefit Pension Plan	015597	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jack A. Bamberg	013975	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jack D. Cutter	011269	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jacquelynne Eccles	013989	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	James A. Britton	015395	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jane K. Mirande Revocable Trust	003612	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Janet I. Shavelson As TTEE U/A/D 4/3/1998	002466	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jaron & Paula Zitrin	002467	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jeff Tatelman	011750	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jerry L. Weiss & Donna L. Weiss TTEES	001594	Claim for securities and/or credit balance denied (12/8/2009).	1/2/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Jerry Urban	010600	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jesse R Gottlieb	000420	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jimmy D. Pettigrew	010914	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	John F. Leonard Living Trust	014938	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	John F. Neal	011312	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	John Holt	015519	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	John Weibert	014197	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Jonathan Sobin & Silvia Michelle Sobin	008500	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Joyce Elaine Sutton	011282	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Judith A. Timchula IRA	015643	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Judith Dituri	013585	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Justin Dituri	011611	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Karen D. Lovejoy Revocable Trust	015593	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Karen D. Lovejoy Revocable Trust	015594	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Keith A. Maurer	013393	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Kenneth E. Forsberg	015508	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Kenneth J Clark	010979	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Kenneth Shapiro	012393	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Kenneth Shavelson As TTEE UAD 4/3/1998	011599	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Kimberley Wyman	015500	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Laura Skaer, Trustee, Laura Skaer CRUT	013699	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Laurie Brown Dilorenzo	006106	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Laurie Brown Dilorenzo (IRA)	006105	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Lawrence B Anderson	013229	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Lawrence Rebak & Paula Rebak JTIC	002655	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Leanne T. Stark	014686	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Lemke Family Trust	004522	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Leon Bialicki For Bialecki Kidney Cancer Foundation	010537	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Leon Bialicki For Bialecki Kidney Cancer Foundation	010537	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Levinson Enterprises, L.P.	003613	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Lewis H. Wyman III	015501	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Linda Buttacavoli	013559	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Loren E. Snyder, Delaware Charter Guarantee Trust & Co	070124	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Marcia Weitala	012903	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Marie A Gambon	011779	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Marie E. Bamberg	013973	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mark Neal Harrison	013993	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Marlene F. Maurer	013392	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Marta B. Galnick	011330	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mary Ann Leveton	015662	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mary Lou Schlapia	011274	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael A. Diehl Trust #2	013579	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael P. Brady IRA	011140	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael P. Brady IRA	011141	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Michael Vilorenzo	005634	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael Vilorenzo	005635	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael Vilorenzo	005636	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Michael W. Rosen	011362	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mount Yale Highland Fund: A Series Of Mount Yale Master Portfolios, LP	005886	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mr. James J. Trainor	002209	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mr. James J. Trainor	002519	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	Mrs. Susan Schneider	003589	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Natural Wealth Properties LLC, Karen Zorn, Managing Member	012024	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co FBO Jean Townsend IRA	011787	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co. FBO Kenneth Shavelson IRA	002463	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co. FBO Kenneth T Gambon IRA	015667	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co. FBO Marie A Gambon IRA	011778	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co. FBO Suzanne Donalson IRA	011783	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	NTC & Co. FBO: Charles Townsend IRA	013797	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Patrick M Arnold	015230	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Paula Zitrin (Roth IRA)	002469	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Paula Zitrin Or Roger Zitrin Co-TTEES	002472	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Peggy E. Reed	011341	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Peter B. Blackford	015521	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Peter J. And Mary Ann Leveton	015663	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Peter J. Leveton	015664	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Phillis C. Moore	010906	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Phillis C. Moore	010906	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Phoenix Lake Partners, LP Series A On Behalf Of Itself And Persons Listed On Exhibit A	011420	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Fund, L.P.	1T0027	Phoenix Lake Partners, LP Series A On Behalf Of Itself And Persons Listed On Exhibit A	011420	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Fund, L.P.	1T0027	Phoenix Lake Partners, LP Series A On Behalf Of Itself And Persons Listed On Exhibit A	011424	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Fund, L.P.	1T0027	Phoenix Lake Partners, LP Series A On Behalf Of Itself And Persons Listed On Exhibit A	011424	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Fund, L.P.	1T0027	R. Jenk Limited Partnership	014000	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Rachel & Paula Zitrin	002468	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ralph B Pelton	013234	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Raymond Bovet	012733	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Richard B. Bassett	011280	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Richard C Johnson	010857	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Richard H. Moore	010905	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Robert A. Stark	014629	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Robert And Deborah Gahan	011757	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Robert Hicks	013995	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Robert J. Fogoros	013575	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Robert M. Lovejoy Family Trust	011298	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Roger And Dixie Olson Charitable Remainder Trust DTD 9/26/99	010901	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Roger Zitrin (Roth IRA)	002470	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Roger Zitrin IRA	002471	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ron G. Nieweg	013076	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Ronald C. Ward & Dianne C. Ward	015125	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ronald C. Ward & Dianne C. Ward	015125	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ronald J. Haber	013567	Claim for securities and/or credit balance denied (12/8/2009).	2/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ross Peter Jackson	015502	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Russell A. Buttacavoli	013561	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ruth Gabel IRA	015469	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Samuel Katz	006084	Claim for securities and/or credit balance denied (12/8/2009).	12/21/2009
Rye Select Broad Market Fund, L.P.	1T0027	Sharon L. Bassett	011324	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Sherab Posel	011297	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Stephen A. Skaer Charitable Remainder Unitrust (CRUT)	014024	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Stephen Stern	005499 ⁹	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009

⁹ Claimant Without An Account invested in Rye Select Broad Market Fund, L.P. (Account 1T0027, Claim 005499), but also may have had a direct account 1ZA666 at BLMIS.

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Stephen Vosper - Trustee For Loraine Vosper Trust	014172	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Stephen Vosper - Trustee For Loraine Vosper Trust	014172	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Stewart Gorenberg	002374	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Ted A Rehage	011333	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Telesis II, Inc.	015127	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Telesis II, Inc.	015127	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Terence Isakov	012711	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	The 2006 Kings Grant Trust	003750	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Evans Family Trust	003446	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Gottlieb GST Trust I	000427	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Gottlieb GST Trust I	000429	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	The Gottlieb GST Trust II	000431	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Gottlieb GST Trust II	000432	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Holt Family Trust	013997	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Janet I Shavelson Irrevocable Trust FBO Lisa J Spleha, Kenneth M Shavelson Trustee	002465	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Merriwell Fund L.P.	015114	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Merriwell Fund L.P.	015114	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Merriwell Fund L.P. On Behalf Of The Investor Accounts (As Defined In The Attached Schedule)	015121	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	The Merriwell Fund L.P. On Behalf Of The Investor Accounts (As Defined In The Attached Schedule)	015121	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Thomas E. Christen	015509	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Timothy Barnett	013985	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Timothy F. Daley Trust	013986	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Tony Mazzella	011396	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Von Rautenkranz Nachfolger Special Investments LLC	011428	Claim for securities and/or credit balance denied (12/8/2009).	1/25/2010
Rye Select Broad Market Fund, L.P.	1T0027	Wallis L. Watson	015316	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Walter L. Schwab Revocable Trust	005365	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	Walter W. Kingsbery IRA	011323	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Wayne G. Smith	011268	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Wayne Schell	012042	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	William H Grinditch	012789	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	William Matz Revocable Trust	003590	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Fund, L.P.	1T0027	William Trine	012426	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Fund, L.P.	1T0027	Wright Family Living Trust	006656	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Fund, L.P.	1T0027	Yale M. Fishman 1998 Insurance Trust, Glenn Fishman Trustee	012416 ¹⁰	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Fund, L.P.	1T0027	Yvonne E.S. Barnett	013979	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Insurance Portfolio, LDC	1FR010	Gloria Gottlieb	000425	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Insurance Portfolio, LDC	1FR010	Gloria Gottlieb	000426	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Insurance Portfolio, LDC	1FR010	Kenneth Shavelson	002464	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Insurance Portfolio, LDC	1FR010	The Gottlieb Family Insurance Trust	000423	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Portfolio Limited	1FR080	David Carlin IRA RO	006140	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Portfolio Limited	1FR080	Marcus Erling IRA RO	003512	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	17665 Newhope MFG., LLC	008138	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	A Eugene Kohn	003563	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

¹⁰ Claimant Without An Account invested in Rye Select Broad Market Fund, L.P. (Account 1T0027, Claim 012416), but also may have had direct accounts 1CM543, 1CM917 and 1CM971 at BLMIS.

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Aegis Holdings (Onshore) Inc FBO The Beaumont Master Fund, LLC	005888	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Agile Composite Fund L.P.	012912	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Agile Safety Fund International	012906	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Agile Safety Fund International - Euro	012910	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Agile Safety Fund L.P.	012907	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Agile Safety Variable Fund LP	012922	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001339	010348	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001340	010347	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001344	010343	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001345	010342	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001346	010341	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001347	010340	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001349	010339	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001350	010338	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001354	010334	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001355	010333	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001355	010335	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001356	010332	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001358	010330	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001360	010329	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001361	010328	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001362	010327	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001368	010323	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001369	010322	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001370	010321	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001376	010319	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001377	010318	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001378	010317	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001379	010316	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001380	010315	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001381	010314	Claim for securities and/or credit balance denied (12/8/2009).	2/17/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001386	010313	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001388	010312	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001393	010351	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001397	010405	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001398	010404	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001403	010400	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001404	010399	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001407	010398	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001415	010397	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001448	010394	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001449	010393	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001458	010391	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001461	010388	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001463	010387	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001464	010386	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001466	010385	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001477	010384	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001508	010381	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001518	010379	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001560	010378	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001561	010377	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001569	010373	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001571	010371	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001581	010367	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001584	010365	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001585	010364	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001588	010363	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001590	010362	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001591	010361	Claim for securities and/or credit balance denied (12/8/2009).	2/17/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001594	010359	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001597	010358	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001607	010357	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001608	010356	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001610	010355	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	AGL Life Assurance Company Separate Account VA45 FBO VA0001627	010354	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Allen C. Eddy	010897	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Almina Ringdahl	011742	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Andringa Family Trust, Judy L. Feenstra Trustee	014460	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Anne A. Quinn	010938	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Barbara Greweling	014462	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Barry E. Kellogg	011772	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Barsanti Foundation	006401	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Beth Tatelman	011752	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Beverly B Duboff	012803	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Beverly J. Neal	011343	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Bob Jenk	014002	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Bob Jenk Charitable Remainder Trust, DTD 7-23-96	014001	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Brinton C Donalson Trust DTD 11/17/1992	011784	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Burton Meerow	009128	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	C. Thomas & Margaret H. Brown	008059	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Candace Newlove	015638	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Carol B. Curran	011309	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Cassandra Kimbla	011291	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Celayne Jones, Personal Rep For The Estate Of Thos. Jones	014917	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Celayne Jones, Personal Rep For The Estate Of Thos. Jones	014917	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charles Edward Raines	010902	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charles F Buczek	013018	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Charles F. Buczek	008085	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charles F. Rolecek	008078	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charles I. Tannen	003012	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charles J. Pellerin, Jr.	014004	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Charlotte Gates	015634	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Chester Opalka Trust 11/18/97 Art III FBO Courtney Opalka	007482	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Chester Opalka Trust 11/18/97 Art III FBO Jesse Opalka	007481	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Colin T. And Yvonne E.S. Barnett	013983	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Colin T. Barnett	013981	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Diversified Institutional Fund, Ltd	015482	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Low Volatility Institutional Fund, Ltd	015483	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Alpha Fund I, LP	015481	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Diversified Fund I, LP	015472	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Diversified Fund II, LP	015476	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Diversified Offshore Fund I, Ltd	015473	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Long/Short Equity Fund II, LP	015480	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Low Volatility Performance Fund I, LP	015474	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Low Volatility Performance Fund II, LP	015478	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Low Volatility Performance Fund II, Ltd	015479	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Master Fund	010895	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Collins Capital Master Fund II, LP	015475	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Colson H. Hillier Jr. IRA RO	004523	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Cynthia Holt	013996	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Daniel E And Joanne B Barnard	012995	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Daniel V. Ault	014032	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dara Sun 2006 Irrevocable Trust	005364	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Darcy Ramirez	010617	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Darren R Scandone Trust	003413	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	David A. Roalstad	011746	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	David Carlin Family Investments, LLC	006141	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	David H. Wartburg	014006	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	David P. Gordon SEP IRA	003628	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dematteis Flp Assets LLC, Attn: Donald M. Schaeffer	008806	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Dematteis Flp Assets, LLC C/O The Dematteis Trusts	004562	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dennis A Graham	011364	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dennis A Graham	011364	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dolores Carmichael-Watson	015315	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Donald H. Hangen	008100	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Donald L Nicolay	012025	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Donald L. Feucht	011733	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Donald W Coen & Frances L Coen	013231	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Donna J. Meyer	013304	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dorothy J. Jackson	010864	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Dr. Gary S. Rosenberg	002615	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Elizabeth C. Kroeck	011271	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Elizabeth Marcus Moore &	013563	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Elizabeth P. Upton Irrevocable Trust II, DTD 6/26/98	013565	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Elizabeth S Ault	014067	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ellen L. Bussi-Sottile	011293	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Eric D. Berniker	003990	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Eric D. Berniker	003990	Claim for securities and/or credit balance denied (12/8/2009).	6/22/2009
Rye Select Broad Market Prime Fund, LP	1C1260	Eugene A Duboff	012804	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Eugene A Duboff, IRA Rollover	012805	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Eugene A. Duboff IRA Rollover	013504	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	F. William Kroeck	011273	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Family Partners, LLP	004158	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Frank A. Diehl	013578	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Fred Niccore	010717	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Friedman Family Foundation	014419	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Futuresselect Prime Advisor II, LLC	015119	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Futuresselect Prime Advisor II, LLC	015119	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Futuresselect Prime Advisor II, LLC On Behalf Of The Investor Accounts (As Defined In The Attached...	015117	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Futuresselect Prime Advisor II, LLC On Behalf Of The Investor Accounts (As Defined In The Attached...	015117	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gary J Matthews	010661	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gary L. Gates	013990	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gary Ray	012886	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Gary W. Powell	014969	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gelmar J. Andringa	014461	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Glenn And Marian Head	011605	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Glenna J. Eddy	010896	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gloria Dittus	003009	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Gloria Gottlieb	000421	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Hans L. Cartensen III	004512	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Harvey A. Taylor	008096	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Hayden H. Harris Rev LV TR DTD 3/6/98	003509	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Henry J. Yeager	011266	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Henry T. Denero & Nancy S. Denero	008057	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Herman Schranz	011401	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Intentional Leadership, Inc. Defined Benefit Pension Plan	014005	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Irene S. Matthews	010663	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Irving I. Gottesman IRA	005628	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Irving Ringdahl	011745	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Isakow Foundation	003022	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jack A. Bamberg	013976	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jack And Marie Bamberg	013977	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jack D. Cutter	011272	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jacquelynne Eccles	013988	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	James A. Britton	013457	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	James L. Kruse	008056	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	James P. Dulany	008058	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jan Feldman	008119	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Janet Owen Deceased IRA	006426	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jeff Tatelman	011751	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jerome Haber	012806	Claim for securities and/or credit balance denied (12/8/2009).	2/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jerry Urban	010599	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jerry Weiss	001597	Claim for securities and/or credit balance denied (12/8/2009).	3/1/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jesse Gottlieb SEP IRA	002235	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Jill M N Buchholz 2004 Family Trust	003447	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	John A Jones Revocable Living Trust	006397	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	John F. Leonard Living Trust	014937	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	John F. Neal	011313	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	John Holt	013998	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	John P. And Dulcie B. Harris Living Trust	015499	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	John Weibert	014198	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Joseph Porat & Zohara Porat JTIC	008447	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Joseph Porat And Zohara Porat JTIC	010807	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Joseph Stewart	011607	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Joyce Elaine Sutton	011283	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Judith A. Timchula	013558	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Judith A. Timchula IRA	015468	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Judith Dituri	011613	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Justin Dituri	011612	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Justin & Judith Dituri TIC	011610	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Karen & Richard Peshkin	000673	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Karen D. Lovejoy Revocable Trust	015507	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Karen L. Zorn	014605	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Karen Lovejoy IRA; NTC And Co.	015506	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Keith A. Maurer	013390	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kenneth Conway	007100	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kenneth E. Forsberg	011103	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kenneth J. Clark	015571	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Kenneth Shapiro	012394	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kimberley Wyman	010848	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kimberly Weibert	014199	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kristofer Sieger Trust	013415	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Kristofer Sieger Trust	015574	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Laura Skaer, Trustee, Laura Skaer CRUT	013698	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Lawrence B Anderson	013228	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Leanne T. Stark	014630	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Lee F. Wood	008080	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Leon Bialecki For Bialecki Kidney Cancer Foundation	015510	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Leon Bialecki For Bialecki Kidney Cancer Foundation	015510	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Lewis H. Wyman III	010849	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Linda Buttacavoli	013562	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Linh And Viet Irrevocable Trust	013524	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Linh And Viet Irrevocable Trust	013524	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Lisa Greenberg	003025	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Loren E. Snyder - Agile Safety Fund Investor	070123	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Laura E Skaer, Trustee	013700	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Lucille E. Nieweg	013074	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marcia Ruderman 2005 Investment Trust	007107	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marcia Weitala	012904	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marie E. Bamberg	013974	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Marie Gambon Living Trust Dated 12/13/2001	011777	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marjorie Kalins Taylor IRA RO	003634	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mark And Margaret Damon Trust	013310	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mark And Margaret Damon Trust	013310	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mark Neal Harrison	013992	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Markian D. Stecyk	008097	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marlene F. Maurer	013391	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marshall G. Rowe	008077	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Marta B. Galnick	011331	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Martha L. Wood	008101	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mary Ann Leveton	015795	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Mary Holmstead	011384	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mary Lou Schlapia	011275	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mary Ryan Buddig	005368	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Melba Cutter	011270	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael A. Diehl Trust #2	013577	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael A. Sandler IRA	003626	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael E Campbell	006404	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael P. Brady IRA	011142	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael P. Brady IRA	011143	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael P. Roche	070170	Not Yet Determined	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Michael W. Rosen	011363	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Michelle Behrend Gift Trust	006195	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mitchell Galnick	011329	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mr. Ed Trimas	002482	Claim for securities and/or credit balance denied (12/8/2009).	1/11/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mrs. Dianne C. Ward	015129	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Mrs. Dianne C. Ward	015129	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Nader F. Darehshori	004452	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Nancy L. McNally Trust	002574	Claim for securities and/or credit balance denied (12/8/2009).	1/5/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Nancy L. Rapoport	004515	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Nathan And Etta S. Kantor	003606	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Natural Wealth Properties LLC, Karen Zorn, Managing Member	012023	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Neal Behrend Gift Trust	006111	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Nicholas V. Boraggina Revocable Trust	003422	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Norman Shulevitz Foundation	001595	Claim for securities and/or credit balance denied (12/8/2009).	1/2/2010
Rye Select Broad Market Prime Fund, LP	1C1260	NTC & Co FBO Charles Townsend IRA	011786	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	NTC & Co FBO Jean Townsend IRA	011788	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	NTC & Co. FBO Kenneth T. Gambon IRA	011781	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	NTC & Co. FBO Marie A Gambon IRA	011780	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	NTC & Co. FBO Suzanne Donalson IRA	011782	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Palmer Family Nominee Trust	011604	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Panasia, LLC	003020	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Patrick M Arnold	015231	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Patsy P. Jones Revocable Living Trust	003433	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Paul F. McLaughlin	003345	Claim for securities and/or credit balance denied (12/8/2009).	12/28/2009
Rye Select Broad Market Prime Fund, LP	1C1260	Paul L. Masterson	014003	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peggy E. Reed	011342	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter A. Zutty	002447	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter B. Blackford	013359	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter J. And Mary Ann Leveton	015796	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter J. Leveton	012679	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter P Jenkins	005237	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Peter R. Roberts 2000 Trust	008266	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Philip E Carlin Family CRUT #1	006438	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Philip E Carlin Family CRUT #2	006437	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Phillip Lee Mcstotts Trust	003011	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Phillis C. Moore	010907	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Phillis C. Moore	010907	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	PMJ Inc.	003530	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	R. Jenk Limited Partnership	013999	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	R. Kay Holmstead	011385	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	R. Kay Holmstead	011386	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ralph B Pelton	013235	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Raymo Dallavecchia IRA RO	005806	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Raymond Bovet	012732	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	RCW Group Inc.	015126	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	RCW Group Inc.	015126	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Rex C. Bean CRUT	003519	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Richard B. Bassett	011281	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Richard C Johnson	010858	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Richard H Mogg Revocable Amended Trust	005594	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Richard H. Moore	010904	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Rm Management	001596	Claim for securities and/or credit balance denied (12/8/2009).	1/2/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert A. Stark	014685	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert And Deborah Gahan	011756	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert Gahan	011758	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert H. Scott & Molly MacNaughton	008102	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Robert Hicks	015633	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert J Warfield	013015	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert J. Fogoros	013574	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert M. Lovejoy Family Trust	011299	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert Mansfield & Andrea Mansfield JT WROS	002830	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Robert Zutty	001614	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Roger And Dixie Olson Charitable Remainder Trust DTD 9/26/99	010900	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ron G. Nieweg, IRA	013073	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ronald C. Ward & Dianne C. Ward	015124	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ronald C. Ward & Dianne C. Ward	015124	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ronald G. Nieweg	013075	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Ronald J. Haber	013568	Claim for securities and/or credit balance denied (12/8/2009).	2/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ronald P Barsanti Revocable Living Trust	006402	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ross Peter Jackson	010863	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Roxy Management Corp Inc DBPP	005261	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ruderman 1997 Trust/Jay Ruderman	007109	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ruderman 1997 Trust/Sharon Shapiro	007110	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ruderman 1997 Trust/Todd Ruderman	007108	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Russell A. Buttacavoli	013560	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ruth Gabel IRA	013557	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Sally Griggs Family Trust	004508	Claim for securities and/or credit balance denied (12/8/2009).	1/12/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Sally S. Beaudette	008060	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Sharon L. Bassett	011279	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Sharon S Wherry	012973	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Sherab Posel	011296	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Sherri Schranz	011400	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Shirley D Johnson	010988	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Spring Valley Ventures	003751	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Stephen & Francine Fogel	013174	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Stephen A. Skaer Charitable Remainder Unitrust (CRUT)	015397	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Stephen J. Hoffman	003627	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Stephen Vosper- Trustee For Loraine Vosper Trust	014171	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Stephen Vosper- Trustee For Loraine Vosper Trust	014171	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Suzanne Hicks	013994	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Suzanne Webel	012734	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Suzanne Webel, Raymond P. Bovet	000451	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Suzanne Webel; Raymond P. Bovet	004628	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Ted A Rehage	011332	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Terence Isakov	012712	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The 2001 Frederick Dematteis Rev Tst, Attn: Donald M. Schaeffer	008807	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The 2001 Frederick Dematteis Revocable Trust	004561	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Gottlieb GST Trust I	000428	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Gottlieb GST Trust II	000430	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Holmstead Charitable Remainder Trust	011382	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	The Holmstead Charitable Remainder Trust	011383	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Holt Family Trust	015632	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Ive Revocable Trust	001355	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Ive Revocable Trust	002817	Claim for securities and/or credit balance denied (12/8/2009).	1/6/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Merriwell Fund L.P.	015122	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Merriwell Fund L.P.	015122	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Merriwell Fund L.P. On Behalf Of The Investor Accounts (As Defined In The Attached Schedule)	015120	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Merriwell Fund L.P. On Behalf Of The Investor Accounts (As Defined In The Attached Schedule)	015120	Claim for securities and/or credit balance denied (12/8/2009).	2/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	The Sun Family TR DTD 2/26/86	005282	Claim for securities and/or credit balance denied (12/8/2009).	1/8/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Thomas E. Christen	011252	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Timothy Barnett	013984	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	Timothy F. Daley Trust	013987	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Tony Mazzella	011397	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Torkin Wakefield	011576	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Upton Family Trust, DTD 6/1/93	013566	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Vito & Robin Errico JTIC	003510	Claim for securities and/or credit balance denied (12/8/2009).	1/7/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Wade F. Curran	011308	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Wallis L. Watson	012713	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Walter W. Kingsbery IRA	011322	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Wayne G. Smith	011267	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Wayne Schell	012041	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	William A. Trine	012427	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010

Feeder Fund	Feeder Fund Account Number	Claimant Without an Account	Claim Number	Determination Status	Date of Objection
Rye Select Broad Market Prime Fund, LP	1C1260	William H Grinditch	015639	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010
Rye Select Broad Market Prime Fund, LP	1C1260	Yvonne E.S. Barnett	013978	Claim for securities and/or credit balance denied (12/8/2009).	2/4/2010